

# PUBLIC SECTOR and MUNICIPALITIES

***Auditing the POPIA process** – One of the unintended consequences of the information age is the availability of personal identifiable information (PII). The combination of name, date of birth, and identification number are the “keys to the kingdom” for the purposes of establishing false identity and fraud. Lost laptops, network break-ins, and phishing expeditions have led governmental entities to establish a patchwork quilt of laws requiring custodians of personal information to provide safeguards and assurance that PII is secure. compliance.*

DAY/S: 2 CPD:16 LEVEL: 1,2,3

PUBLIC SECTOR



## COURSE OBJECTIVE

To understand and apply internal audit methodology to evaluate the adequacy and effectiveness of the process that should ensure POPIA compliance.

To make recommendations to reduce the potential legal and financial impact on the entity.

To understand the role of combined assurance in the POPIA context.

### What Do We Need?

- Establishing criteria
- Defining the compliance universe
- Identifying the legal requirements
- Fulfilling assurance/monitoring requirements
- Resolution
- Keeping up with changing technology and compliance
- Monitoring and assurance

### Action Plan

- Building a framework for PII
- Aggregating compliance requirements
- Implementing security

### The role of combined assurance and POPIA

- The role of the first line
- The role of the second line
- The role of the third line

Continuous practical examples and case studies



## COURSE CONTENT

### What Is Personal Identifiable Information (PII)?

- Various definitions
- Minimum requirements
- Dynamic framework by locality

### The Need for Security Over PII

- Performing a meaningful risk assessment
- Selling the results to management
- Cyber security and its relevance

### Where to Find PII

- The porous nature of data storage
- Traditional computing
- Outsourced systems
- Data on the move
- Into the cloud



## WHO SHOULD ATTEND?

Internal auditors who want to understand the principles of supply chain management and get more exposure to the appropriate internal audit procedures for supply chain management as well as the audit procedures to identify fraud red flags.

This course can be procured as an individual course, or as part of our CPD program which offers more than 50% discount when taken as a package of 40 CPD points.

See our ridiculous prices on packages for the internal audit units with more than 10 members.